



Data Protection Declaration/Declaration of Consent for the processing of personal data for research purposes
Information obligations according to GDPR

Consent is voluntary!

Should you choose not to participate, you will not be subject to any negative consequences!

1. For what purpose will personal data be processed?

"Transition towards urban sustainability through socially integrative cities in the EU and in China" (TRANS-URBAN-EU-CHINA) is an EU funded project (Grant Agreement No. 770141) coordinated by Technische Universität Dresden – Germany (TUD), which aims at helping policy makers, urban authorities, real estate developers, public service providers and citizens in China to create socially integrative cities in an environmentally-friendly and financially-viable way. Moreover, it will help urban stakeholders in Europe to reflect and eventually reconsider their approaches towards sustainable urbanization. In order to achieve the main objective, the project will (a) develop a systematic knowledge base on transition experiences in Europe and China; (b) advance tools and measures to support transition in cooperation with local stakeholders and citizens, and test them in Living Labs located in Chinese cities; and (c) elaborate related recommendations to support transition towards socially integrative cities.

TRANS-URBAN-EU-CHINA will only use anonymous data to produce scientific publications in international peer-reviewed journals in the fields of urban transitions, urban planning and governance, and social and environmental sciences. In addition, the consortium partners aim to present project results at scientific conferences and professional fora in these expert fields, including the events to which TRANS-URBAN-EU-CHINA will link.

TRANS-URBAN-EU-CHINA will publish a book entitled 'Towards Socially Integrative Cities – Perspectives on Urban Sustainability in Europe and China' containing the results of the Knowledge Base. The book will be published by MDPI with open access.

The public TRANS-URBAN-EU-CHINA website will host an online compendium of the Transformative Knowledge and Recommendations, outcomes and good practice examples. Other project outputs, such as reports, deliverables, interviews and seminar recordings will be published on the project website, YouTube or other online channels.

For this reason, data is processed in the following situations:

- Stakeholder interviews, including video recording and publication in the internet (project website)
- Online-workshops, including recording and publication in the internet (project website, YouTube)
- Group meetings
- Online questionnaires

The research project is a cooperation involving the following partners:

 TECHNISCHE UNIVERSITÄT DRESDEN (TUD), established on HELMHOLTZSTRASSE 10, DRESDEN 01069, Germany





- AIT AUSTRIAN INSTITUTE OF TECHNOLOGY GMBH (AIT), established on DONAU CITY STRASSE 1 TECH GATE VIENNA, WIEN 1220, Austria
- EUROCITIES ASBL (EUR), established on SQUARE DE MEEUS 1, BRUXELLES 1000, Belgium
- ISRAEL SMART CITIES INSTITUTE (ISCI), established at PASHER RESIDENCE 35, HAMACHTAROT STREET, TEL AVIV 6937254, Israel
- ISTITUTO DI STUDI PER L'INTEGRAZIONE DEI SISTEMI (I.S.I.S) SOCIETA'COOPERATIVA (ISINNOVA), established on LARGO DEI LOMBARDI 4, ROMA, 00186, Italy
- LEIBNIZ-INSTITUT FUR OKOLOGISCHE RAUMENTWICKLUNG EV (IOER), established at WEBERPLATZ 1, DRESDEN 01217, Germany
- NORGES TEKNISK-NATURVITENSKAPELIGE UNIVERSITET NTNU (NTNU), established on HOGSKOLERINGEN 1, TRONDHEIM 7491, Norway
- POLITECNICO DI TORINO (POLITO), established on CORSO DUCA DEGLI ABRUZZI 24, TO-RINO 10129, Italy
- CHINA ACADEMY OF URBAN PLANNING AND DESIGN (CAUPD), established on SANLIHE ROAD 9, HAIDIAN DISTRICT, BEIJING 100037, China (People's Republic of)
- INSTITUTE OF GEOGRAPHICAL SCIENCES AND NATURAL RESOURCES RESEARCH, CHINESE ACADEMY OF SCIENCES (CAS), established on 11A, DATUN ROAD, ANWAI, BEIJING 100101, China (People's Republic of)
- CHINESE ACADEMY OF SCIENCE AND TECHNOLOGY FOR DEVELOPMENT (CASTED), established o YU YUAN TAN NAN LU RD 8YU 8, BEIJING 100038, China (People's Republic of)
- CHINA CENTER FOR URBAN DEVELOPMENT (CCUD), established on NO 5 SANLINHE EAST RD, XICHENG DISTRICT, BEIJING 100045, China (People's Republic of)
- TONGJI UNIVERSITY (CIUC), established on SIPING ROAD 1239, SHANGAI 200092, China (People's Republic of)
- TSINGHUA UNIVERSITY (THSA), established on QING HUA YUAN, BEIJING 100084, China (People's Republic of)

under the leadership of TU Dresden. For this reason, it is possible that data may be collected and further processed or transferred between or to Third countries that are insecure in terms of data protection law - countries outside the European Union and countries without an adequacy resolution in accordance with article 45 section 3 GDPR. In these countries, in particular, it cannot be guaranteed that:

- an adequate level of data protection exists in the Third country,
- the provisions of the GDPR and other relevant provisions on data protection applicable in the European Union and countries with an adequacy resolution are complied with in all cases,
- the collected data is only processed for the aforementioned research purposes,
- there is no disclosure to third parties, in particular in the Third country in question, and
- the rights of data subjects can be enforced in any case (see no.6).

The consent, which can be withdrawn at any time, also expressly refers to these circumstances of processing personal data.

Further information about the research in the project can be found on the project website: http://transurbaneuchina.eu/.

2. Who is responsible for data processing and to whom can data subjects turn?

TU Dresden

Faculty of Environmental Sciences Senior Chair for Spatial Development

01062 Dresden

Contact:

Herr Prof. Dr. Dr. h.c. Bernhard Müller

email: <u>bernhard.mueller@tu-dresden.de</u>

Data Protection Officer of TU Dresden

TU Dresden

Data Protection Mr Jens Syckor

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E-mail: informationssicherheit@tu-dresden.de

3. What is the legal basis for the processing of personal data?

The legal basis for the processing of personal data is your voluntary and informed consent pursuant to Art. 6 para. 1 sentence 1 lit. a) GDPR. The processing of personal data is carried out by the responsible person exclusively for research purposes.

4. Which personal data is processed, how is it stored and for how long?

The following personal data will be processed:

- Contact details (name, title, email address)
- Profession
- Opinion on topics under discussion
- Video recording of interviews

Insofar as the data is processed within the scope of the GDPR or in countries where an adequacy resolution has been made and the purpose of the research allows it, the elements that can be linked directly to the person in question are stored separately; these elements are deleted as soon as the purpose of the research allows for this. Personal data will be deleted two years after the end of the research project - 2023 - at the very latest.

5. Will personal data be published?

As long as the data is processed by the responsible person, it can be assured that the research results are only published in the usual academic form. It can be assured that natural persons are not identifiable based on these publications.

Exceptions are video recordings. In this case, the consent also refers to image recordings of the person.

6. What are my basic rights?

In order to exercise your basic rights, it is sufficient to notify the responsible person in writing (letter, e-mail or fax). However, the rights can only be exercised if the data processed allows for the identification of a natural person. Express reference is made to the exceptions under no.1.

Voluntariness and revocation according to Art. 7 para. 3 GDPR

The submission of personal data is voluntary. Consent to the processing of personal data may be withdrawn at any time pursuant to Art. 7 para. 3 GDPR. The personal data of the person in question will no longer be processed.

2) Right to information (Art. 15 GDPR)

Data subjects have the right to obtain information on the data processed concerning them, as well as the possible recipients of this data, at any time. You are entitled to a reply within one month of the responsible party for the data processing receiving your request for information.

3) Right to correction, deletion and limitation (Art. 16 - 18 GDPR)

Data subjects can contact TU Dresden at any time, request the correction, deletion or the limitation of processing of their personal data.

4) Right to the transferability of data (Art. 20 GDPR)

Data subjects can request that the responsible party for the data processing transfers their data in a machine-readable format. Alternatively, you can request the direct transfer of your personal data to a different responsible party, insofar as this is possible.

5) Right of Appeal (Art. 77 GDPR)

Data subjects can contact the Data Protection Officer of TU Dresden at any time and, in the case of a complaint according to Art. 77 GDPR, the responsible supervisory authority for data protection. The responsible authority is:

Saxon Data Protection Officer Postbox 11 01 32 01330 Dresden

Tel.: +49 351/85471 101 Fax:+ 49 351/85471 109

E-Mail: saechsdsb@slt.sachsen.de